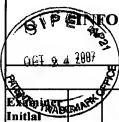


Form PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
75723-ZA/JFW/GJGSerial No.
10/037,341INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)Applicants
David Baltimore et al.Filing Date
January 4, 2002

Page 1 of 44

U.S. PATENT DOCUMENTS

| Examiner's Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
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| Document Number | Date | Country | Class | Subclass | Translation |
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| /D.G./ | June 9, 2006 Complaint, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679 (CMH/BRP) |
| | July 12, 2006 Notice of Hearing, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679 (CMH/BRP) |
| | August 2, 2006 Memorandum Of Law In Support Of Defendant's Motion To Dismiss Or In The Alternative For Summary Judgement And Opposition To Plaintiffs' Motion For Summary Judgment, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679 (CMH/BRP) |
| | August 2, 2006 Statement Regarding Eli Lilly & Company's Motion To Intervene And For Leave To File, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679 (CMH/BRP) |
| | August 14, 2006 Notice Of Filing, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679 (CMH/BRP) |
| | August 14, 2006 Plaintiffs' Brief in Opposition To Motion To Intervene By Eli Lilly and Company, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679 (CMH/BRP) |
| /D.G./ | August 14, 2006 Plaintiffs' Brief In Reply To Defendant's Motion To Dismiss Or In the Alternative For Summary Judgment And |

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| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | Applicants David Baltimore et al. | | | |
| | | | | Filing Date January 4, 2002 | | Page 2 of 44 | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| /D.G./ | | Opposition To Plaintiffs' Motion For Summary Judgment, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 (CMH/BRP) | | | | | |
| ↓ | | August 28, 2006 Reply Memorandum In Support Of Defendant's Motion To Dismiss, Or, In the Alternative, For Summary Judgment, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 | | | | | |
| | | October 3, 2006 Order (Defendant's Motion to Dismiss), <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 | | | | | |
| | | October 3, 2006 Order (Eli Lilly & Company's Motion to Intervene), <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 | | | | | |
| | | August 2, 2006 Defendant Eli Lilly And Company's Pre-Trial Brief, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ | | | | | |
| ↓ | | August 2, 2006 Plaintiffs' Supplemental Trial Brief, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ | | | | | |
| ↓ | | September 11, 2006 Plaintiffs' Proposed Findings of Fact and Conclusions of Law on the issues of Inequitable Conduct, | | | | | |
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INFORMATION DISCLOSURE CITATION
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| /D.G./ | Indefiniteness, Prosecution Laches, and Non-Patentable Subject Matter, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ |
| | September 11, 2006 Lilly's Post-Trial Proposed Findings Of Fact And Conclusions Of Law Relating To (1) Invalidity Under 35 U.S.C. §101, (2) Unenforceability For Inequitable Conduct, And (3) Unenforceability For Prosecution Laches, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ |
| | September 29, 2006 Plaintiffs' Reply To Lilly's Proposed Findings of Fact And Conclusions of Law On the Issues of (1) Patentability Under 35 U.S.C. §101, (2) Inequitable Conduct, and (3) Prosecution Laches, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ |
| | September 29, 2006 Lilly's Response To Ariad's Proposed Findings of Facts And Conclusions of Law, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ |
| ↓ | April 20, 2006 Complaint For Declaratory Judgment Of Patent Invalidity And Non-Infringement, <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action No. _____ |
| /D.G./ | April 20, 2006 Civil Cover Sheet, <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action CA _____ |

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Form PTO-1449

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75723-ZA/JPW/GJGSerial No.
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David Baltimore et al.Filing Date
January 4, 2002

Page 4 of 44

U.S. PATENT DOCUMENTS

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| /D.G./ | April 20, 2006 Report On The Filing or Determination of an Action Regarding a Patent or Trademark, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action CA 06-259 |
| | June 14, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Motion To Dismiss For Lack of Subject Matter Jurisdiction, Failure To State a Claim, And Failure To Join Indispensable Parties, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | June 14, 2006 Declaration of Laurie A. Allen (including Exhibits A-D), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | June 14, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Opening Memorandum of Law In Support Of Its Motion To Dismiss For Lack of Subject Matter Jurisdiction, Failure To State A Claim For which Relief May Be Granted, And Failure To Join Indispensable Parties (including Tab 1), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| ↓ | June 28, 2006 Declaration of Paul Cantrell, Esq. Signed May 18, 2006, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action No. 1:06-cv-259 (KAJ) |
| /D.G./ | June 28, 2006 Declaration of Melanie K. Sharp (including Exhibits A-L), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action No. 1:06-cv-259 (KAJ) |

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| | | | | | Filing Date January 4, 2002 | | Page 5 of 44 | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
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| /D.G./ | | June 26, 2006 Declaration of Frank Ungemach (including Exhibits A-H), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action No. 1:06-cv-259 (KAJ) | | | | | | |
| ↓ | | June 28, 2006 Plaintiffs' Opposition To Ariad's Motion To Dismiss For Lack of Subject Matter Jurisdiction, Failure To State a Claim, And Failure to Join Indispensable Parties (including Exhibits A-D unreported cases), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
| | | July 12, 2006 Declaration of Fritz Casselman, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
| | | July 13, 2006 Declaration of Patricia Carson, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
| ↓ | | July 13, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Reply Memorandum of Law In Support of its Motion to Dismiss For Lack of Subject Matter Jurisdiction, Failure to State a Claim For Which Relief May Be Granted, And Failure To Join Necessary And Indispensable Parties, Amgen, Inc. | | | | | | |
| ↓ | | July 12, 2006 Supplemental Declaration of Laurie A. Allen, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
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David Baltimore et al.Filing Date
January 4, 2002

Page 6 of 44

U.S. PATENT DOCUMENTS

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| /D.G./ | Proposed Final Pretrial Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | July 19,, 2006 Scheduling Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | July 19, 2006 Trial Management Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | July 21, 2006 Letter to Judge Jordan from Melanie K. Sharp of Young Conaway Stargatt & Taylor, LLP, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | July 25, 2006 Letter to Judge Jordan from Steven J. Balick of Ashby & Geddes, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| ↓ | August 30, 2006 Letter to Judge Jordan from Steven J. Balick of Ashby & Geddes (including Exhibits A & B), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| /D.G./ | August 31, 2006 Letter to Judge Jordan from Melanie K. Sharp of Young Conaway Stargatt & Taylor, LLP (including Exhibits 1 & 2), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |

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David Baltimore et al.
Filing Date January 4, 2002
Page 7 of 44

U.S. PATENT DOCUMENTS

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| /D.G./ | September 13, 2006 Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | September 25, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Motion Certification Pursuant to 28 U.S.C. §1292(b), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | September 25, 2006 Defendant Ariad Pharmaceuticals Inc.'s Opening Memorandum of Law In Support of Its Motion For Certification Pursuant To 28 U.S.C. §1292(b), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| | September 25, 2006 Ariad Pharmaceuticals, Inc.'s Answer To Compliant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| ↓ | September 25, 2006 Declaration of Elizabeth L. Rosenblatt In Support of Ariad Pharmaceuticals, Inc.'s Motion For Certification Pursuant To 28 U.S.C. §1292(b) (including Exhibits A-Q), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |
| /D.G./ | October 2, 2006 Ariad Opposition Ex. A-C, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ |

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| Form PTO-1449 | | U.S. Department of Commerce Patent and Trademark Office | | | Atty. Docket No. 75723-ZA/JPW/GJG | | Serial No. 10/037,341 | |
| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | | Applicants David Baltimore et al. | | Filing Date January 4, 2002 | |
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| U.S. PATENT DOCUMENTS | | | | | | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| /D.G./ | | July 26, 2006 Defendant's Rule 26(a)(1) Initial Disclosure, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
| ↓ | | July 26, 2006 Plaintiffs' Initial Disclosures Pursuant to Federal Rule of Civil Procedure 26(a)(1), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
| ↓ | | August 7, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Responses And Objections to Plaintiffs' First Set of Requests For Production of Documents and Things to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
| ↓ | | September 27, 2006 Defendant Ariad Pharmaceuticals, Inc.'s First Supplemental Responses And Objections To Plaintiffs' First Set of Requests for Production of Documents And Things to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
| ↓ | | August 7, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Responses And Objections To Plaintiff Amgen, Inc.'s First Set of Interrogatories to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ | | | | | | |
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| ↓ | /D.G./ | September 27, 2006 Defendant Ariad Pharmaceuticals, Inc.'s First Supplemental Responses and Objections To Plaintiffs' First Set Of | | | | | | |
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| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | | Applicants David Baltimore et al. | | | |
| | | | | | Filing Date January 4, 2002 | | Page 10 of 44 | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| /D.G./ | | Requests for Production of Documents and Things to Defendant, <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action 06-259-KAJ | | | | | | |
| ↓ | | October 11, 2006 Defendant Ariad Pharmaceuticals, Inc.'s First Supplemental Responses and Objections to Plaintiff Amgen, Inc.'s First Set of Interrogatories to Defendant, <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action 06-259-KAJ | | | | | | |
| ↓ | | October 26, 2006 Stipulated Protective Order Pursuant To Federal Rule of Civil Procedure 26(c), <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action 06-259-KAJ | | | | | | |
| ↓ | | July 12, 2006 Telephone Conference before Honorable Kent A. Jordan, U.S.D.C.J., <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action 06-259-KAJ | | | | | | |
| ↓ | | September 11, 2006 Motions Hearing before Honorable Kent A. Jordan, U.S.D.C.J., <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action 06-259-KAJ | | | | | | |
| ↓ | | November 3, 2006 Motion Hearing before Honorable Kent A. Jordan, U.S.D.C.J., <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action 06-259-KAJ | | | | | | |
| /D.G./ | | | | | | | | |
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| | | | | Filing Date January 4, 2002 | Page 11 of 44 |
| NON PATENT LITERATURE DOCUMENTS | | | | | |
| Examiner Initials | Cite No.¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume/issue number(s), publisher, city and/or country where published. | | | T² |
| /D.G./ | | January 22, 2007 Declaration of Elizabeth L. Rosenblatt in Support of Ariad Pharma., Inc.'s Motion to Stay Litigation Pending Conclusion of Reexamination Proceedings in the Patent and Trademark Office, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-*** (MPT) | | | |
| ↓ | | January 23, 2007 Defendant Ariad Pharma., Inc.'s Motion to Stay Litigation Pending Conclusion of Reexamination Proceedings in the Patent and Trademark Office, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-*** (MPT) | | | |
| ↓ | | January 23, 2007 Defendant Ariad Pharma., Inc.'s Opening Memorandum of Law in Support of Its Motion to Stay Litigation Pending Conclusion of Reexamination Proceedings in the Patent and Trademark Office (including Exhibits A-G), <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-*** (MPT) | | | |
| ↓ | | January 31, 2007 Plaintiffs' First Set of Requests for Admission to Defendant, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259 (***) | | | |
| ↓ | | February 20, 2007 Transcript of Oral Argument Hearing before Hon. Mary Pat Thyng, U.S. Magistrate Judge, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259 (***) | | | |
| ↓ | | February 22, 2007 Defendant Ariad Pharma., Inc.'s First Set of Requests for Production of Documents, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-MPT | | | |
| ↓ | | February 22, 2007 Defendant Ariad Pharma., Inc.'s First Set of Interrogatories, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-MPT | | | |
| ↓ | | March 7, 2007 Defendant Ariad Pharma., Inc.'s Responses and Objections to Plaintiffs' First Set of Requests for Admission to Defendant, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-MPT | | | |
| ↓ | | March 26, 2007 Plaintiffs' Responses to Defendant's First Set of Requests for Production of Documents, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-MPT | | | |
| ↓ | | March 26, 2007 Plaintiffs' Responses and Objections to Ariad's First Set of Interrogatories, <i>Amgen, Inc. et al. v. Ariad Pharma., Inc.</i> , CA No. 06-259-MPT | | | |
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| | | Page 12 of 44 | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume/issue number(s), publisher, city and/or country where published. | T ² |
|-------------------|-----------------------|--|----------------|
| /D.G./ | | April 3, 2007 Plaintiffs' Multi-Media Materials (Technology Tutorial), Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT | |
| | | April 3, 2007 Notice of Filing of Multi-Media Materials (Plaintiffs' Technology Tutorial), Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT | |
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| Form PTO-1449 | U.S. Department of Commerce Patent and Trademark Office | Atty. Docket No. 75723-ZA/JPW/GJG | Serial No. 10/037,341 |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume/issue number(s), publisher, city and/or country where published. | T ² |
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| /D.G./ | | May 1, 2007 Amgen's Technology Tutorial, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT | |
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| | | Page 15 of 44 |

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| | April 20, 2007 Videotaped Deposition of Chen-Ming Fan, Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc. et al., D. Del. Civil Action No. 06-259-MPT |
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January 4, 2002

Page 16 of 44

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January 4, 2002

Page 17 of 44

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David Baltimore et al.

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Page 18 of 44

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David Baltimore et al.Filing Date
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Page 19 of 44

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David Baltimore et al.Filing Date
January 4, 2002

Page 21 of 44

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January 4, 2002

Page 22 of 44

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David Baltimore et al.Filing Date
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Page 23 of 44

U.S. PATENT DOCUMENTS

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January 4, 2002

Page 24 of 44

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
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| | | Filing Date January 4, 2002 | Page 25 of 44 |

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Filing Date January 4, 2002 Page 26 of 44

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Page 27 of 44

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David Baltimore et al.Filing Date
January 4, 2002

Page 28 of 44

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David Baltimore et al.Filing Date
January 4, 2002

Page 29 of 44

U.S. PATENT DOCUMENTS

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David Baltimore et al.Filing Date **January 4, 2002** Page **33** of **44****U.S. PATENT DOCUMENTS**

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| | | Filing Date January 4, 2002 | Page 35 of 44 |

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| | | | | | | | Page 36 of 44 | |

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David Baltimore et al.Filing Date
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Page 38 of 44

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Page 39 of 44

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David Baltimore et al.Filing Date
January 4, 2002

Page 40 of 44

U.S. PATENT DOCUMENTS

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David Baltimore et al.Filing Date
January 4, 2002

Page 41 of 44

U.S. PATENT DOCUMENTS

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